# How Should Imaging Direct/Orient Management of Rectal Cancer?

Jemma Bhoday, BSc, MBBS, MRCS<sup>1</sup> Svetlana Balyasnikova, PhD<sup>1</sup> Anita Wale, BMBS, FRCR<sup>1</sup> Gina Brown, MBBS, MRCP, FRCR<sup>1</sup>

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Address for correspondence Gina Brown, MBBS, MRCP, FRCR, Department of Radiology, The Royal Marsden NHS Foundation Trust NIHR BRC and Imperial College London, Downs Road, Sutton, Surrey SM2 5PT, United Kingdom (e-mail: Gina.Brown@rmh.nhs.uk).

# **Abstract**

# **Keywords**

- ► rectal cancer
- magnetic resonance imaging
- local staging

Modern rectal cancer management is dependent on preoperative staging, and radiological assessment is a crucial part of this process. Imaging must provide sufficient information to guide preoperative decision-making that is reliable and reproducible. Different methods have been used for local staging; however, magnetic resonance imaging (MRI) has shown to be the most reliable tool for this purpose. MRI offers prognostic information about the patients and guides the decision between neoadjuvant treatment and total mesorectal excision alone. Also, not only the initial staging but also restaging by MRI can provide significant information regarding tumor response that is essential when considering alternative approaches.

Modern rectal cancer management is dependent on preoperative staging, and radiological assessment is a crucial part of this process. Imaging must provide sufficient information to guide preoperative decision-making that is reliable and reproducible. Imaging provides not only prognostic data but also detailed information on anatomical planes, thereby serving the surgeon in anticipating potential difficulties that may develop intraoperatively. The primary modality for local staging of rectal cancer is high-resolution magnetic resonance imaging (MRI). When performed in accordance with the recommended standards, MRI enables accurate staging of both early and advanced rectal cancer, accurate response assessment, the delineation of recurrent disease, and the planning of surgical treatment.<sup>1–4</sup>

There is a paucity of literature with validated outcome data concerning the use of diffusion-weighted imaging (DWI) and positron emission tomography (PET)/computed tomography (CT). In the absence of any validated methods and outcome data, their use in initial assessment and restaging is limited to research protocols. Thus at the present moment, the use of CT and PET-CT is limited to the assessment of metastatic disease, and there is no role for such modalities in local staging and surgical orientation of rectal cancer.

MRI combined with CT is essential for the assessment of distant spread and recurrent disease, and currently PET-CT is sometimes used in the evaluation of patients with recurrent and metastatic disease.<sup>2</sup>

# Magnetic Resonance Imaging

Outcomes for rectal cancer have significantly improved over the last two decades with advances in surgical techniques, preoperative therapy, and imaging modalities.<sup>5</sup> MRI of the rectum has been shown to accurately predict the depth of extramural spread and involvement of the surgical resection margin<sup>6–8</sup> and as such, is recommended by United Kingdom and European guidelines for local staging and preoperative assessment of patients with rectal cancer,<sup>9</sup> although there is still international variability with continued use of endoscopic ultrasound and CT staging in some centers with suboptimal local staging.<sup>10</sup>

When imaging the primary tumor, high-resolution MRI has been proven to give accurate prognostic information. As such, the radiologist plays a critical role in guiding preoperative multidisciplinary team decisions to help achieve better outcomes for patients. 12

#### Technique

# **Patient Preparation**

To obtain a high-quality study, good patient preparation is a necessity. Patients should be counseled that scans can take up to 40 minutes and if there is concern regarding a patient's ability to stay still during this time, the appropriate use of analgesia and/or sedation should be considered before the

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Department of Radiology, The Royal Marsden NHS Foundation Trust NIHR BRC and Imperial College London, Sutton, Surrey, United Kingdom

patient's arrival. Patients should also empty their bladder before the scan. No bowel preparation, filling of the rectum with contrast agents, or air insufflation is required. In addition, intravenous or intramuscular antispasmodic agents are not mandatory but can be helpful in improving image quality. Intravenous contrast enhancement with gadolinium is not recommended for the staging of rectal cancer and can result in loss of clarity of the pelvic planes and can cause the vessels to enhance, so rather than aiding diagnosis, the use of intravenous contrast can lead to overstaging of the tumor.<sup>4,13</sup>

# **Coil Positioning**

The development of the multichannel, multiarray pelvic phases array coil has revolutionized rectal MRI, enabling imaging of the entire mesorectum in relative comfort for the patient, without the difficulties associated with traversing strictures or obstructing tumors, or problems of nearfield distortion that was seen with endorectal coils. <sup>14</sup> The position of the coil is paramount and is dependent on the position of the tumor within the rectum. A 1.5T system is used with phased array coils that maintain the high signal required but will obtain greater coverage than endorectal coils. <sup>1</sup>

For low rectal tumors, the center of the coil should be positioned over the pubic symphysis, as higher placement results in the loss of detailed anatomy of the lower rectum with added noise, thereby limiting interpretation, and assessment of tumor stage. For rectosigmoid tumors, the coil should be centered more superiorly.<sup>4,15</sup> It is crucial that the coil is optimally centered to ensure adequate coverage of the rectum, mesorectum, and anal sphincter complex. In addition, to encompass the lymph node draining territory, which is 5 cm above the tumor; <sup>16</sup> the lower edge of the tumor must lie at least 10 cm below the symphysis pubis and the upper limit of the sacral promontory. <sup>1</sup>

# Sequences

High-resolution T2 images are the mainstay of rectal cancer imaging. The magnetic resonance protocol has been published 13 and validated by the MERCURY Study Group. 6

Localization images in the coronal and sagittal planes are initially required to plan further high-resolution images that will allow the detailed interpretation of the tumor stage. A narrow field of view (160  $\times$  160 mm, 256  $\times$  256 matrix) with a minimum of four signal averages ensures a  $0.6 \times 0.6 \times 3$  mm high-resolution image (1 mm<sup>3</sup> voxel size). The first series are T2-weighted sagittal, turbo spinecho sequences from one pelvic sidewall to the other that enable identification of the tumor. A 3 mm slice thickness results in a higher resolution sagittal image, which allows assessment of the presacral space. The second series consists of wide-field-of-view axial sections of the whole pelvis. The third series consists of high-resolution images that are T2-weighted thin-section axial images through the rectal cancer and adjacent tissues. To avoid partial volume effects, which can cause a distorted image prone to overstaging, it is vital that these sequences are performed perpendicular to the long axis of the rectum and at the level of the tumor (3 mm slices).<sup>1</sup> To achieve this, multiple slabs may be required which may increase the time taken for the examination slightly, but will result in a diagnostic study. For patients with low rectal tumors, the fourth series consists of high-spatial resolution coronal imaging that will display the levator muscles, the sphincter complex, the intersphincteric plane, and the relationship to the rectal wall at an optimum which is essential for staging low rectal tumors.<sup>13</sup>

Diffusion-weighted MRI is no longer recommended in the primary staging of rectal cancer as it does not help to delineate the relationship of the tumor to the pelvic anatomy and can detract from accurate local staging.<sup>17</sup>

# Normal Anatomy and Relevance to Staging

For the clinical management of rectal cancer, the rectum is divided into upper, mid, and lower thirds. The sagittal scans allow tumors to be depicted in relation to the important anatomical landmarks, namely, the anal verge, the peritoneal reflection, the seminal vesicles/uterus, the sacrum, and the coccyx.

The upper third of the rectum (10–15 cm from the anal verge) lies above the peritoneal reflection. Here, surgical dissection is more straightforward and as a result, these tumors tend to have a lower associated morbidity than the mid and lower rectal tumors. However, it is still important to identify those tumors with poor prognostic features, such as mesorectal fascia invasion posteriorly, and therefore require preoperative therapy to render them resectable. If extension through the peritoneal reflection occurs and invasion of adjacent structures is apparent, for example, bladder, then a different operative approach will be required to limit the risk of intraoperative tumor spillage.

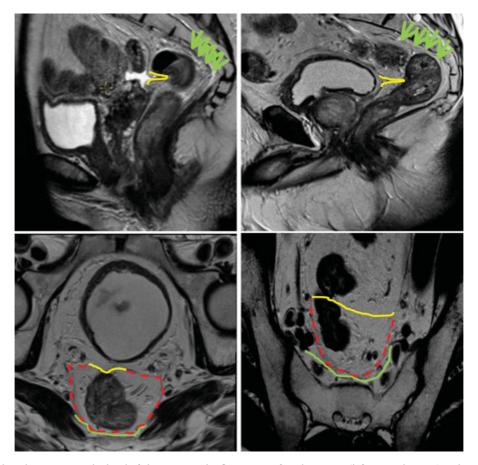
Mid rectal tumors (5–10 cm from the anal verge) and lower third tumors (< 5 cm from the anal verge) can be more challenging and the goal remains resection with a clear circumferential margin.

# **Peritoneal Reflection**

This is seen as a low-signal intensity linear structure on sagittal images. It can be traced back from the surface of the bladder to the rectal wall anteriorly, where it attaches to the rectum and may be more easily identified in a male patient. The point of attachment produces a v-shaped configuration on axial section. The anterior covering of the rectum by peritoneal reflection widens in the cranial direction (**Figs. 1** and **2**).

#### **Pelvic Sidewall Nerves**

The inferior hypogastric plexus is a densely fenestrated meshwork of nerves for genitourinary function. They lie just laterally to the mesorectal fascia in the sagittal plane, running downwards and forward from the sacral plexus. At the level of the seminal vesicles, the nerves lie very close to the mesorectal fascia (**Fig. 3**).



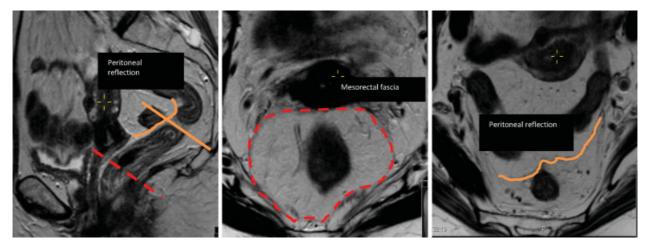
**Fig. 1** The yellow line demonstrates the level of the peritoneal reflection in a female patient (left sagittal image) and a male patient (right sagittal image). The green arrows and the green lines delineate the presacral fascia with red dashed lines indicating the mesorectal fascia.

# **Mesorectal Fascia**

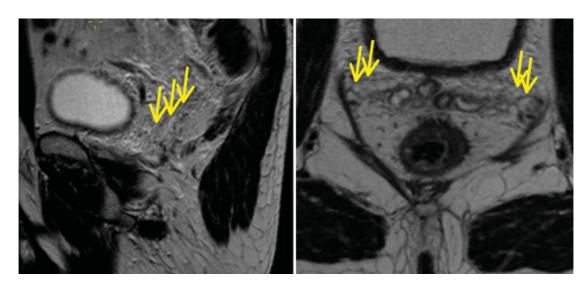
This is seen as a low intensity signal layer that surrounds the mesorectum. Inferiorly this layer fuses with the endopelvic fascia that lies over the surface of the levator muscles and superiorly the fascia fuses with the peritoneal reflection anteriorly and the parietal fascia posteriorly (**Fig. 1**).

#### Denonvilliers' Fascia

The mesorectal fascia fuses with the remnant of the urogenital septum at the level of the seminal vesicles. In the males, this is a dense band of connective tissue and separates the prostate and seminal vesicles from the rectum. During total mesorectal excision (TME), the anterior dissection occurs in the plane between Denonvilliers' fascia and the prostate (**Fig. 4**).



**Fig. 2** Peritoneal reflection: (left) sagittal T2 image and (right) upper T2-axial image; (center) mesorectal fascia. The red dashed line shows mesorectal fascia, and the orange line demarcates the peritoneal reflection.



**Fig. 3** Hyperintense tubular/cystic structures along the seminal vesicles (yellow arrows on sagittal and axial images) show the level of the neurovascular bundles.

#### **Anal Canal**

Anatomically, the anal canal is defined as the level at which the puborectalis sling sweeps around the rectum creating the anorectal junction. This results in angulation between the rectum and anal canal. The total length of the anal canal is variable; longer in males than females and on average represents the distal 4 cm of the gastrointestinal tract.

On MRI, the sphincter is depicted as an almost complete ring of muscle. The superior margin of the external anal sphincter is defined by the lower edge of the puborectalis sling. Inferiorly, the fibers curve inwards just below the internal sphincter. The internal anal sphincter is formed by a thickened segment of the circular muscle coat from distal rectum. Ridges of mucosal membrane that form anal columns characterize the upper third of the anal canal. Below

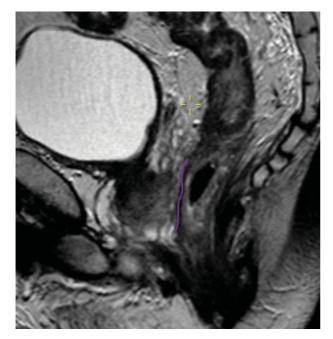


Fig. 4 The purple line indicates Denonvilliers' fascia in a male patient.

this, the mucosa is smooth surfaced and the dentate line marks this point of transition (**Fig. 5**).

# **Levator Muscle**

The levator ani complex comprises the puborectalis, pubocccygeus, ileococcygeous, and coccygeous muscles. These



**Fig. 5** The coronal image shows the anatomical structures of the anal canal: Levators (orange) continue to the external sphincter (red arrow), and muscularis propria layer of the rectal wall (yellow arrows) continues as the internal sphincter (green arrow). The tiny hyperintense line between the internal and external sphincters indicates an intersphincteric plane (purple line) and the purple arrow indicates the interspnincteric groove which corresponds to the dentate line not visible on magnetic resonance imaging. The blue oval demonstrates the level of puborectalis sling.

collectively form a sheet that supports the pelvic floor. The levator muscle sheet is best seen on coronal images that depict the muscle sheet running obliquely toward the anorectal junction (**Fig. 5**).

#### **Presacral Fascia**

The presacral fascia is a thickened parietal fascial covering that overlies the presacral veins and fat, and fuses with, and directly covers the muscles and vessels of the pelvic sidewall. It lies behind the mesorectal fascia. The lymph nodes of the pelvic sidewall accompany branches of the internal and external iliac vessels and are therefore in a separate compartment from the mesorectum. It is because of this that the pelvic sidewall nodes are not routinely visualized during surgery, unless the compartment is opened by dissecting through the presacral or parietal fascia. It is best assessed initially on the sagittal images with correlation to the high-resolution axials <sup>19</sup> (**Fig. 1**).

# **MRI Anatomy Related to TME Surgery**

Using distinct signal characteristics on T2-weighted images, MRI can identify the layers of muscle and mucosa. The mucosal layer is seen as a very fine layer of low-signal intensity overlying the much thicker and higher signal of the submucosa. Outside of this, the muscularis propria can be seen as a dual layer representing the inner circular and the outer longitudinal muscle layers sometimes separated by the intermediate signal intensity layer of the myenteric plexus. The outer layers have a characteristic irregular appearance due to vessels crossing the rectal wall. The perirectal fat is seen as a high signal with vessels seen as signal void areas encompassing the relatively low-signal intensity of the muscularis. Enveloping all of this is the mesorectal fascia, which is seen as a fine layer of low-signal intensity. To determine the T-stage of a tumor, careful assessments of the images is required with respect to signal characteristics and correct field alignment. The T-stage is defined by the

extent of signal intensity within and beyond the submucosa of the rectal wall<sup>1,20</sup> ( $\sim$  Fig. 6).

#### MRI and Local Rectal Cancer Staging

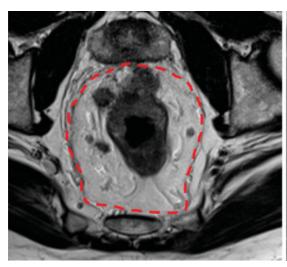
#### **Early Rectal Cancer Staging**

Early rectal cancer can be defined as invasive disease confined to the submucosa and/or the muscle of the rectal wall, without lymph node metastasis.<sup>21</sup> It is currently understood that T1 sm1 lesions can be safely removed by local excision without further therapy, since the likelihood of local relapse and tumor recurrence is low in these patients. Patients with T1 sm2 or greater are offered TME surgery, which is considered a good option for patients who are fit for surgery and in whom sphincters can be conserved. However, for low rectal polyps and early-stage tumors within 10 mm of the puborectalis sling, surgery most often requires either an abdominoperineal excision of the rectum or an ultralow intersphincteric anastomosis. Both of these procedures result in a significant impact on quality of life due to impairment or loss of sphincter function. Instead, many patients opt for chemoradiotherapy (CRT) and surveillance following local excision or transanal endoscopic microsurgery (TEM) of an early-stage lesion.<sup>22</sup>

Careful examination of the advancing edge of the tumor is needed to judge the safety of TEM preoperatively. Precise documentation of both the quadrant (clock position) and height of tumor is needed. Certain interfaces may limit the extent for TEM excision: the anterior rectal wall and the prostate, the tumor height and the relationship to levator muscles, distal TME plane, and the peritoneal reflection. Imaging assessment should therefore include a measurement of the closest distance to these structures if clearance beyond the invasive edge of the tumor is likely to encroach upon these.<sup>23</sup>

# Assessment of Polypoidal and Sessile Lesions

To evaluate polypoidal lesions preoperatively, it is important to first determine the site of the stalk and then assess the





**Fig. 6** Axial pretreatment T2 magnetic resonance imaging (MRI) (left) shows a semiannular lesion which infiltrated the rectal wall at 9 to 3'o clock position with evidence of extramural spread at the site of infiltrating border. Tumor infiltrates the mesorectal fascia (distance to circumferential resection margin is < 1 mm) and abuts the prostate. (Right) Posttreatment magnetic resonance image, persistence intermediate signal intensity tumor (yellow arrow) at the site of the primary disease, suggesting mrTRG4. mrTRG, MRI tumor regression grade.

extension of tumor into the fibromuscular stalk and beyond (**Fig. 7**).

Sessile or flat lesions are identified by a raised rolled edge laterally (noninvasive portion) and a depressed portion that forms the advancing edge of the tumor centrally (**Fig. 7**).

Multiplanar assessment of the central depressed portion of the tumor and the degree of preservation identifiable in the submucosa and muscularis propria at the advancing edge is required preoperatively. If submucosa is evident on any single view at the base of the stalk, or at the central invasive base of a sessile tumor, it is diagnostic of a T1 lesion suitable for a local excision approach.

Assessment of T-stage tumor depth within and beyond the rectal wall is achieved by assessing the extent of the intermediate signal intensity and its extent of spread into the submucosa, muscularis, and beyond. The depth of extension into the submucosa should be assessed but measurement of the thickness of preserved submucosa is key; a thickness of > 1 mm in any plane increases the likelihood of detection of a sm1 or sm2 lesion which could be definitively treated by a local excision approach. The lack of any measurable high signal intensity layer on any plane imaged between the advancing edge of the tumor and the low-signal intensity of the muscularis suggests a high probability of a T1sm3 or early T2 tumor. The distinction between a T1sm3 and an early T2 is prognostically and clinically irrelevant since a local excision without removal of the full thickness of the underlying muscularis propria would result in a positive deep margin of  $< 1 \text{ mm.}^{23}$ 

# Advanced Rectal Cancer Staging

#### Tumor Stage

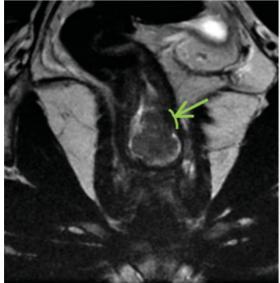
The T-stage represents the depth of tumor invasion in relation to the bowel wall and is a key component of the

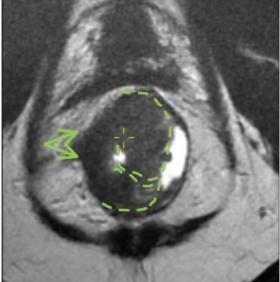
staging classification. There are four T-stages: T1, submucosal invasion; T2, muscularis propria invasion; T3, beyond muscularis propria into the serosa, or into nonperitonealized pericolic or perirectal tissue; T4, perforation of visceral peritoneum (T4a) or direct invasion of peritoneum (T4b).<sup>24</sup>

The degree of extension beyond the muscularis propria and into the mesorectum is of greater prognostic importance than T-stage. Tumors with invasion into the mesorectum > 5 mm are associated with a worse prognosis and higher recurrence rates. Thus, within the T3-stage there is a great deal of variability in terms of outcome. For this reason, the MRI (mr) and pathology (p) T3-stage should be subclassified to give a meaningful indication of prognosis. T3a minimal invasion: < 1 mm beyond the border of the muscularis propria are associated with survival outcomes that are identical to T1 and T2 tumors; T3b slight invasion: 1 to 5 mm beyond the border of the muscularis propria are also associated with very low rates of recurrence; however T3c moderate invasion: > 5 to 15 mm beyond the border of the muscularis propria; pT3d extensive invasion: > 15 mm beyond the border of the muscularis propria have significantly worse outcomes. This has been confirmed in numerous histopathologic studies.<sup>25–39</sup>

A prospective study comparing MRI and pathological assessment of T-stage showed a 94% agreement. The multicenter MERCURY study directly compared extramural depth of invasion measured by MRI with histopathology in 295 patients and found that MRI was equivalent to histopathology assessment of depth to within 0.5 mm (mean difference: -0.046 mm; standard deviation: +3.85 mm; 95% confidence interval [CI]: -0.49 to 0.4 mm). This suggests that local staging on high-resolution MRI is not only accurate but also reproducible in a multicenter setting.

The widest range in survival is seen in patients with T3 tumors, which make up 80% of rectal tumors seen in clinical





**Fig. 7** Left coronal image shows a polypoidal lesion within the lower/mid rectum. The fibromuscular stalk arises at the left lateral wall, and the maximum degree of invasion would be assessed at this very level (green arrow and line). (Right) The image indicates a low rectal advanced tumor is infiltrating rectal wall at 7 to 10 o'clock position with depression portion at 9 o'clock (green arrow) and rolled ages (dashed green lines). The intramural and extramural contiguous invasion should be assessed at this level and measured in millimeters.

practice.<sup>40</sup> Survival rates are dependent on the depth of penetration into the mesorectum. pT3 tumors with > 5 mm of extramural invasion ( $\ge$ pT3c) have a significantly worse 5-year disease-free survival (DFS) compared with tumors with an invasion depth of  $\le 5$ mm ( $\le$ pT3b); 54 versus 85% DFS, regardless of nodal status.<sup>30</sup> Conversely, those patients with tumors staged at  $\le$ pT3a (< 1 mm invasion into the mesorectum) have a more favorable prognosis. Survival and local recurrence rates for T2 and early T3 tumors are identical; therefore, the decision regarding preoperative chemoradiotherapy should be based on the T3b/T3c cutoff.<sup>1</sup>

A meta-analysis of 21 studies performed by Al-Sukhni et al investigated the accuracy of MRI in assessing T-stage. Specificity was found to be 75% and sensitivity 87%. <sup>41</sup> It should be noted, however, that many of the included studies used suboptimal imaging techniques without high-resolution T2-weighted images in the correct planes.

#### Circumferential Resection Margin

Of the preoperative prognostic features, circumferential resection margin (CRM) has emerged as one of the most powerful predictors of outcome. Regardless of local stage of the tumor, the presence of tumor within 1 mm of the surgical CRM predicts the development of local recurrence.<sup>19</sup>

The surgical CRM is defined as the surgical cut surface of the connective tissues that encase the rectum. It is important to note that the areas of the rectum that have a peritoneal covering do not constitute the CRM following TME surgery. The CRM, therefore, equates to the mesorectal fascia, which forms the plane of dissection in rectal cancer surgery.

Visualization of the mesorectal fascia and accurate assessment of the CRM is key for preoperative staging and surgical planning. MRI has the inherent advantage of being able to consistently depict the mesorectal fascia. The CRM can be seen on MRI as the mesorectal fascia encompassing the entire mesorectum below the level of the peritoneal reflection. When tumor extends to within 1 mm of this fascia, infiltrates, or extends beyond the fascia, it is predictive of subsequent margin involvement 11 (Fig. 1).

Accurate measurement of the minimum distance from the tumor to the CRM requires high-resolution T2-weighted images perpendicular to the long axis of the tumor. In upper and mid rectal tumors, the CRM distance is measured from the closest point of the tumor to the mesorectal fascia. Additional high-resolution T2-weighted axial images perpendicular to the long axis of the anal canal, and coronal images parallel to the anal canal are needed for low tumors to assess the relationship of the tumor to the sphincters and levators.<sup>43</sup> In the lower rectum anteriorly, the mesorectal fascia is in contact with the prostate in men and the vagina in women, posteriorly, it forms the CRM. Below the origin of the levators, the mesorectal fascia is in contact with the levator sling, which then forms the CRM. This is continuous with the external sphincter inferiorly, which becomes the CRM for tumors extending into the anal canal.

It is important to assess CRM distance on the sagittal and coronal images as well as the correctly orientated highresolution axial images to avoid errors, which can occur from partial voluming when the anteroposterior angulation of the rectum changes.<sup>17</sup>

A single-center prospective study reported that MRI accurately identified involvement of the mesorectal fascia in 92% when compared with pathological assessment (kappa = 0.81). These results were validated with the multicenter, prospective MERCURY study, which confirmed high-resolution MRI to be the most accurate imaging modality for identifying the CRM. 349 patients were predicted to have clear margins on preoperative MRI assessment and this was confirmed in 327 patients on histopathology (specificity 92%). Around 53% of pathology samples were found to have an involved CRM when the tumor was  $\leq$  1 mm from the potential CRM on MRI. If however, the tumor was > 1 mm from the CRM on MRI, CRM positivity was only 7%. On the potential CRM on MRI, CRM positivity was only 7%.

A meta-analysis to assess the accuracy of MRI in predicting CRM was performed by Xie et al consisting of 14 studies and 1,600 patients. When CRM involvement was defined as a tumor within 1 mm of the mesorectal fascia, MRI had a specificity and sensitivity of 76 and 88%, respectively.<sup>44</sup>

CRM involvement is a poor prognostic factor in rectal cancer. 45,46 Long-term follow-up of the MERCURY study showed that 5-year overall survival was 62.2% in the CRM-negative group compared with 42.2% in the CRM-positive group and was the only significant prognostic risk factor for overall survival (OS), DFS, and local recurrence (LR) on multivariate analysis. 47 There is widespread agreement that all patients with an involved CRM on MRI should be offered neoadjuvant CRT. 48-50

# **Nodal Staging**

Before the adoption of TME surgery, any nodal involvement predicted for pelvic recurrence.<sup>51</sup> Presently, if suboptimal TME surgery is performed, the risk of local recurrence is seen to significantly increase as pathological lymph nodes are left behind within the residual mesorectum.<sup>52</sup> However, when high-quality TME surgery is performed, the mesorectum and all draining lymph nodes contained within it are removed. In such cases, there is evidence to show that when the burden of nodal involvement is confined to the mesorectum (N1), without extracapsular breach or a positive CRM, there is no marked increase in the risk of pelvic recurrence,<sup>53</sup> although involvement of pelvic side wall nodes outside the mesorectum are more common in patients with involved mesorectal nodes.<sup>54</sup> Therefore, nodal staging is of questionable importance as a predictor of local recurrence in those patients undergoing radical surgery for primary tumors.<sup>2,55,56</sup>

Accurate nodal staging remains one of the most challenging aspects of preoperative assessment for all imaging modalities, including MRI. Several criteria for lymph node staging have been proposed including lymph node size, morphology, and signal characteristics.

In a meta-analysis of 21 studies, rectal MRI was found to have a sensitivity of 77% and a specificity of 71% for the identification of lymph node metastases.<sup>41</sup> However, many of these studies used size criteria for assessment of lymph node status.

Size has been shown to be a poor indicator of nodal status<sup>55</sup> and enlarged lymph nodes may be present for several reasons. Using size > 7 mm as the criteria for malignancy, MR was found to have a sensitivity of 71%, a specificity of 61%, a positive predictive value of 46%, and a negative predictive value of 81%, with an overall accuracy of node positive disease of 64%.<sup>57</sup> By increasing the margin to 10 mm, specificity is increased but sensitivity is reduced, and by lowering the margin to 5 mm, sensitivity is increased at the cost of specificity. Trying to use a size cutoff is invariably challenging due to the considerable overlap that exists between a benign and malignant lymph node. 55,58 By using morphological criteria, specificity is increased, although it should be noted that lymph nodes of < 3 mm cannot be accurately characterized on current MRI and 15% of these will be malignant. 55,59 It is therefore not recommended to attempt staging of nodes by using size criteria.

Currently, the most accurate method for nodal staging uses high-resolution MRI and morphological criteria. Normal, benign, or reactive lymph nodes are homogeneous with a preserved capsule, while malignant lymph nodes are irregular and heterogeneous and may demonstrate capsular breach<sup>15</sup> (**Fig. 8**).

DWI has been shown to identify more mesorectal lymph nodes than T2-weighted turbo spin-echo imaging alone. Although, apparent diffusion coefficient (ADC) is increased in both malignant and reactive nodes and as such cannot be relied upon to usefully discriminate between the two.<sup>60,61</sup>

# Pelvic Sidewall Disease

The 16 cm field of view use of thin-section MRI enables the pelvic sidewall compartment to be assessed, as well as the mesorectal compartment. This is particularly valuable in identifying patients at risk of residual disease despite a successful TME operation due to pelvic sidewall nodal disease. These include common iliac nodes, internal iliac nodes, and external iliac lymph nodes. 62

Pelvic sidewall disease has been found to be a feature of aggressive tumors and is associated with poor survival.<sup>63</sup> In

the United Kingdom and Europe, pelvic side wall nodal dissection is rarely performed due to the morbidity associated with the surgery, but the finding of pelvic sidewall disease should prompt the use of targeted radio- and/or chemotherapy.<sup>19</sup>

#### **Extramural Venous Invasion**

Extramural venous invasion (EMVI) describes the presence of tumor beyond the outer limits of the muscularis propria in endothelium-lined vessels. <sup>11</sup> Histopathologically, this is seen as tumor involvement of a vascular structure with a smooth muscle wall that will contain elastin on elastin staining. This requires careful inspection and should be suspected if an isolated tumor deposit is seen close to an arterial structure, without an accompanying vein. As tumor is spread beyond the bowel wall, it is more commonly found in locally advanced tumors (T3 and T4), although can be seen in all stage tumors. <sup>64</sup>

The reporting of pathological EMVI (pEMVI) remains highly variable, and detection rates range from 9 to  $50\%^{64-67}$  with the worst results seen in preoperatively treated rectal cancers. High-resolution MRI produces high-quality anatomical images, and this three-dimensional view allows blood vessels to be tracked and traced; arguably MRI is better placed to identify EMVI. EMVI should be scored as either present or absent on MR and once identified, it should be located as being within a small, medium, or large vessel 15 (**Fig. 9**).

Multiple studies have shown that venous invasion independently predicts for local and distant metastases and reduced survival<sup>68–70</sup> and in TME specimens, EMVI is an important predictor of both local and distant failure. Both CT and MRI are capable of identifying EMVI, but in the context of local staging, MRI is clinically more relevant. Sensitivity and specificity have been reported to be between 62 and 100% and 88 and 89%, respectively. <sup>6,70,71</sup>

The radiological characteristics of MRI-detected EMVI (mrEMVI) have been previously described.<sup>64</sup> Veins are recognizable on T2-weighted images as serpiginous or

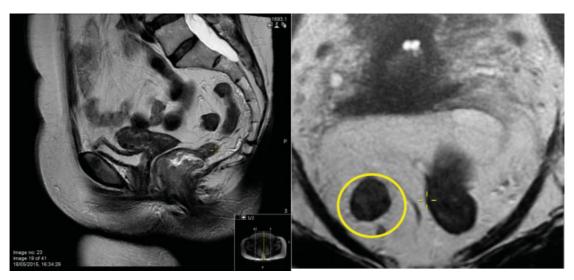
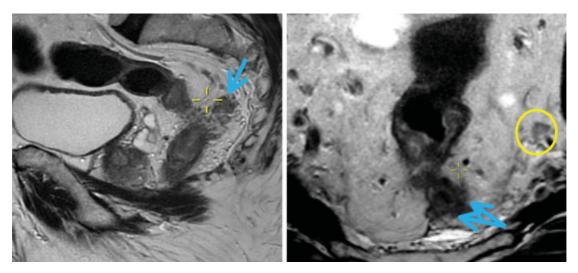


Fig. 8 A mesorectal lymph node with a heterogeneous signal intensity seen at 9 o'clock position (yellow circle).



**Fig. 9** Extramural vascular invasion, affecting the large diameter superior rectal veins (blue arrows). The yellow circle encases the left internal iliac node with irregular borders.

tortuous linear structures. Larger vessels appear black owing to signal void, and smaller vessels may be recognized because of tortuosity and branching. Small, unnamed vessels may radiate outward from the edge of the muscularis propria into the perirectal fat. Larger named vessels, such as the superior rectal vein and middle rectal vein, may be visualized in a consistent anatomic position, and a contralateral-paired vessel may also be present, helping with identification.

Assessment of MR images for features suggestive of EMVI must include the following four components: pattern of tumor margin (extension into small veins may produce a nodular border); location of tumor relative to major vessels; caliber of vessel (tumor causes vessel expansion and an increase in tumor signal in the lumen) and vessel border. Smaller venules may also be seen perforating the outer rectal wall and produce a low-to-intermediate signal intensity in tubular structures. EMVI into these smaller vessels can be recognized by their expansion and irregularity adjacent to the tumor.<sup>64,72</sup>

An early prospective study of 98 patients undergoing TME surgery for biopsy-proven rectal cancer evaluated the accuracy of MRI in identifying EMVI. EMVI was considered present if a serpiginous extension of tumor signal within a vascular structure was seen on 3 mm slice MRI images. A total of 18 patients had large vessel EMVI visible to the naked eye on hematoxylin-eosin stain and 15 of these 18 cases were shown to have mrEMVI. These were early studies and higher resolution images are now routinely available.

Smith et al performed a retrospective study of 142 patients and reported a sensitivity of 62% and specificity of 88% for the identification of EMVI on MR. 64 Sohn et al reported on mrEMVI in 447 patients and demonstrated a sensitivity and specificity of 28.2 and 94%, respectively. 73 Despite the low sensitivity, MRI is specific and is still more accurate in identifying EMVI than histology, especially after preoperative CRT. 74 The Royal College of Pathologists recommend that MRI be used to assess EMVI and then communicated to pathologists to try and improve detection rates of pEMVI. 75

mrEMVI is of prognostic significance. Smith et al demonstrated that 3-year recurrence free survival was 35% for mrEMVI-positive patients compared with 74.1% for mrEMVI-negative patients, which was similar to pathological EMVI positive and negative patients (34 and 74%, respectively).<sup>64</sup> In addition, it has been shown that a change in mrEMVI status from positive to negative post-CRT improves DFS: 3-year DFS 88%, 9% recurrence in those that convert from positive to negative versus 3-year DFS 46%, with 44% recurrence in those that remain positive (p < 0.0001).<sup>76</sup> mrEMVI-positive patients with stage II disease had similar survival outcomes to those with stage III disease. 74 In a study by Sohn et al mrEMVI-positive patients were found to have an odds ratio of 3.02 for distant metastases, and that large vessel EMVI positive patients had an odds ratio of 5.27.73 Smith et al have shown that mrEMVI-positive patients also had a fourfold increased risk of developing distant metastases (52 vs. 12%).<sup>64</sup>

# Adjacent Organ Involvement

Assessment of adjacent organ involvement is required for those patients undergoing exenterative surgery for locally advanced or recurrent rectal cancer. It allows preoperative surgical planning and time to involve the appropriate specialties. It also aids the selection of patients in whom an RO resection is possible. The Beyond TME Collaborative<sup>77</sup> recommended the use of MRI as the primary imaging modality for imaging the pelvis in patients with cancers beyond the TME plane, although few studies have specifically addressed this issue.<sup>17</sup>

#### **Tumor Height: Low Rectal Cancers**

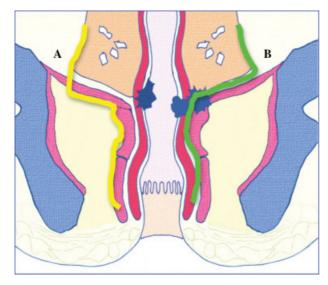
Low rectal tumors are those that arise within 6 cm of the anal verge. A more objective description is that the low rectum begins at the level of origin of the levators. At this point, the natural tapering of the mesorectal envelope means that the distance between the outer muscularis propria and the mesorectal fascia decreases, which increases the likelihood

of the tumor being nea, or breaching the distal TME plane.<sup>15</sup> In addition, surgery is often more challenging due to the limited access afforded in a narrow pelvis. These factors increase the risk of a positive CRM.<sup>1</sup> Studies have found a higher recurrence rate,<sup>78,79</sup> a higher mortality rate,<sup>78</sup> a greater risk of the permanent stoma<sup>80</sup> and higher rates of anastomotic dehiscence<sup>81</sup> in these patients. As a result, there is a conflict between the optimal oncological approach and how best to preserve bowel function.

With an increasing number of complex options, a validated and accurate radiological assessment is vital. Preoperative assessment must combine feasibility of sphincter preservation by assessing the distance from the distal edge of the tumor to the top of the puborectalis sling seen on MRI and assessment of the radial extent of the tumor in relation to the distal TME plane. To address these anatomical complexities, a specific staging system has been devised for low rectal tumors that have been validated in the MERCURY II: Low Rectal Cancer Study.<sup>43</sup> This identifies those tumors that encroach or breach the intersphincteric plane and allows preoperative planning for a more radical approach, such as an extralevator abdominoperineal excision (ELAPE). - Fig. 10 demonstrates the consequences of inadequate preoperative staging, while -Table 1 describes the four stages of the low rectal cancer (mLR) system.<sup>1</sup>

#### Reassessment after Neoadjuvant Chemoradiotherapy

Given the huge variation in response to neoadjuvant CRT (with  $\sim\!20\%$  who show no response, and up to 50% who may demonstrate a complete clinical response), accurate restaging of patients is vital to avoid under- and overtreatment. <sup>82</sup>



**Fig. 10** Pelvic floor and low rectum (oblique coronal view). The solid green line indicates intersphincteric plane; solid yellow line indicates plane for extralevator abdominoperineal excision (ELAPE). Early-stage tumor (A) has not invaded beyond the muscularis propria; overtreatment with ELAPE, yellow line. Late-stage tumor (B) has breached the muscularis propria/internal sphincter and has invaded beyond the intersphincteric space into the levator ani and puborectalis muscle; following the total mesorectal excision plane (green line) would lead to an involved circumferential resection margin.

#### T- and N-Stage

Memon et al performed a meta-analysis of 12 studies investigating the accuracy of MRI in the restaging of rectal cancer following neoadjuvant CRT in 2015. The average T-stage restaging accuracy was found to be 52% (95% CI: 44–59%) although this may in part be due to the wide variation in MRI technique seen within the studies. N-stage restaging accuracy was assessed in 14 articles and ranged from 60 to 88% with a mean accuracy of 72%. <sup>83</sup> Again, there was significant heterogeneity in the criteria used to identify malignant lymph nodes, with some studies using only the size, others using morphological criteria and those that remained, combined both.

Studies that have used high-resolution T2-weighted MRI rather than DWI or gadolinium-enhanced MRI have reported higher accuracy in the assessment of T-stage and N-stage after CRT. In addition, ymrT and ymrN responders were found to have a similar 3-year OS when compared with histopathological outcomes (80 and 79%, respectively). ymrT-stage is of some value for assessing response to CRT, but it should be used in conjunction with other assessment measures such as post-CRT CRM involvement (ymrCRM), post-CRT EMVI (ymrEMVI), and magnetic resonance tumor regression grade (mrTRG) (~ Table 2). 85

#### ymrCRM

The MERCURY Study Group prospectively investigated the accuracy of high-resolution MRI for detecting involvement of the surgical CRM after CRT in a subset of 97 patients.<sup>6</sup> Histopathological CRM (pCRM) involvement occurred in 1% (1/97) MRI-predicted CRM (mrCRM)-negative patients. When the posttreatment MRI predicted a positive CRM (ymrCRM), a histologically clear CRM (ypCRM) occurred in 55% (21/38) of patients. However, there is some evidence to suggest that pathology may actually understage. Hole et al have shown that reviewing pathology with the assistance of the posttreatment MRI significantly increases the likelihood of identifying pCRM involvement.<sup>86</sup> In addition, an involved mrCRM is itself, an independent predictor of local recurrence. 5-year OS and DFS was 62.2 and 67.2% in patients with a negative mrCRM compared with 42.2 and 47.3% in patients with an involved mrCRM, respectively. mrCRM was the only preoperative staging parameter that remained significant for OS, DFS, and LR on multivariate analysis.<sup>3,50</sup>

# ymrEMVI

In a study by Yu et al where 96% of patients had >mrT3c disease, 78% (219/281) patients had evidence of mrEMVI on their baseline MRI. These patients were significantly less likely to respond to neoadjuvant CRT than mrEMVI-negative patients (odds ratio: 2.5). However, when CRT did succeed in changing ymrEMVI status from positive to negative (seen in 81/281); this was associated with good overall outcomes. <sup>84</sup> The MARVEL study (NCT01995942) is a European multicenter observational study that is currently recruiting patients to validate an mrEMVI regression grade, <sup>76</sup> and it may be that in the future, such patients may benefit from treatment intensification or alternative preoperative therapy.

**Table 1** MRI low rectal cancer staging system<sup>1</sup>

MRI stage	Anatomical definition
mrLR1	Tumor confined to the bowel wall and does not extend through the full thickness (intact outer muscle coat)
mrLR2	Tumor replaces the muscle coat but does not extend into the intersphincteric plane
mrLR 3	Tumor invades the intersphincteric space or lies within 1 mm of the levator muscle
mrLR 4	Tumor invades the external anal sphincter and is within 1 mm and beyond levator muscle with or without invading the adjacent structures

Abbreviation: MRI, magnetic resonance imaging.

#### Post-CRT Tumor Response Assessment

Prediction and evaluation of response to neoadjuvant chemoradiotherapy are important. Differentiating between the good and poor responders allows treatment to be tailored to individual patients. Poor responders may benefit from a change in treatment; radiotherapy dose escalation, chemotherapy regime change, early surgery, extended plane surgery, or best supportive care, while prediction of complete pathological response may allow organ preservation. It has been suggested that complete pathological response is viewed as a lost opportunity to avoid surgery, as these patients have by definition have undergone resection of a disease-free organ with questionable oncological benefit.<sup>17</sup>

Modalities such as endoanal ultrasound (EUS), CT, PET, and MRI have all been investigated for their ability to detect a posttreatment response.

Despite the popularity of EUS as a staging tool for rectal cancer, it is hampered post-CRT. It has been well documented, that sonographically, it is impossible to distinguish tumor necrosis, fibrosis, and inflammation from residual tumor postradiotherapy. There is a tendency therefore for EUS to overstage patients.<sup>87,88</sup> Pastor et al used EUS to assess tumor response after neoadjuvant CRT in 235 patients. A total of 20% were misclassified as clinical complete response (cCR), and overall, overstaging was seen in 37%. They concluded that this was unacceptably high.<sup>89</sup> A study by Rau et al in 84 patients had similar results, and the authors drew parallel conclusions.90

A review article by Schaefer et al. summarized results for six studies that examined the use of CT in the detection of the first recurrence of rectal cancer and found that the sensitivity ranged from 1 to 41%. 91 A study of 23 patients that looked specifically at the ability of CT to assess response to treatment found that CT had a sensitivity of 54% and a specificity

of 80%. 92 Despite the use of CT in the surveillance of colorectal cancer, it's inability to distinguish tumor from fibrosis posttreatment, reduces its value in assessing for a cCR.<sup>93</sup>

PET scans are highly sensitive and specific and as such, are recommended for use in the workup of metastatic colorectal cancer. However, the cost and limited availability of PET remain disadvantages. A study by Chennupati looked at 35 patients and found no correlation between standardized uptake value and metabolic tumor volume when comparing good and poor responders.<sup>94</sup> In a prospective study by Guillem et al, 121 patients with rectal cancer underwent PET before and after CRT. Results were compared with pathology specimens. PET was found to detect a pathological complete response (pCR) in 54% and a non-pCR in 66%. 95 The authors concluded that there was only limited value in using PET to assess response to treatment.

At present, MRI is the staging modality of choice for rectal cancer posttreatment. 96,97 Increasing focus has been placed on the ability of MRI to predict complete pathological response following re-imaging after treatment. Wu et al. performed a meta-analysis of 14 studies in 2013 to investigate this. Five of the earlier studies used morphological change on T2-weighted imaging alone to predict response and were found to have a relatively low sensitivity (64%) with a high specificity (88%) for predicting pathological response. Nine of the later studies included DWI with or without ADC map cutoff values, together with T2-weighted imaging, and had a significantly higher sensitivity of 92% although a slightly lower specificity of 75% for predicting pathological response.98

Yeo et al. investigated tumor volume reduction rate (TVRR) on rectal MRI following neoadjuvant CRT as a means to predict pathological tumor regression, complete pathological response, DFS, and OS in 430 patients. TVRR was

Table 2 mrTRG score<sup>85</sup>

mrTRG grade	Radiological findings on MRI
1	Radiological complete response (linear/crescenteric 1–2 mm scar in mucosa or submucosa only)
2	Good response (dense fibrosis; no obvious residual tumor, signifying minimal residual disease or no tumor)
3	Moderate response (>50% fibrosis or mucin, and visible intermediate signal)
4	Slight response (little areas of fibrosis or mucin but mostly tumor)
5	No response (intermediate signal intensity, same appearances as original tumor/tumor regrowth)

Abbreviations: MRI, magnetic resonance imaging; mrTRG, MRI tumor regression grade.

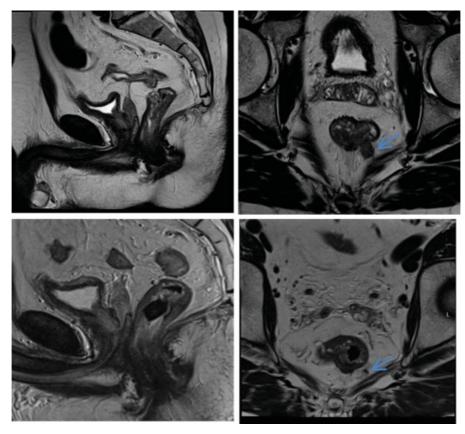
significantly associated with tumor regression, complete response, DFS, and OS. <sup>99,100</sup> However, despite promising results, there are no published precise/accurate cutoff values to predict a favorable response. Modified mrRECIST is based on objective measurements of the change in craniocaudal length of measurable disease and has been proposed as a tool for tumor response assessment. Guidelines state that a 30% reduction should be considered a favorable response. However, there is no established threshold for defining response in a luminal organ such as the rectum.

mrTRG is based on the principles of histopathology tumor regression grading, and most resemble the Mandard pathological TRG score (pTRG). The ability to differentiate between fibrosis and residual disease on MRI has improved with advances in technology and the techniques used to score these patients are reproducible. When examining the images, radiologists should initially decide whether the residual mass is predominantly tumor or fibrosis. After that, determining the exact TRG depends on the relative degree of fibrosis and residual tumor for the figs. 6, 13).

Patel et al demonstrated a significant difference in DFS and OS between mrTRG1–3 (good response) and mrTRG4–5 (poor response) (p < 0.001); the 5-year DFS was 72 and 27%, respectively.<sup>85</sup> The EXPERT-C trial data reported similar findings; a significant difference in DFS and OS was reported: mrTRG1–2 (good response), mrTRG3 (intermediate re-

sponse), and mrTRG4–5 (poor response) had a 3-year DFS of 82, 72, and 61%, respectively. A recent study examined interobserver reliability between 35 radiologists and 1 central reviewer for mrTRG. Kappa agreement had a median value of 0.57 (95% CI: 0.37–0.77) indicating an overall good agreement. In 65.9 and 90% of cases, the radiologists were able to correctly highlight good and poor responders, respectively. The authors concluded that with minimal training, good agreement and differentiation between good and intermediate/poor responders could be achieved. <sup>103</sup>

The mrTRG appears to be a valuable way of differentiating between the "responder" and "nonresponder." It has been evaluated in several prospective trials and is emerging as a promising biomarker for evaluation of response, which may be used to stratify rectal cancer patients with regard to future management. Patients that show a "good" response to CRT (mrTRG1&2) appear to behave similarly to those who achieve a complete pathological response.3 This cohort may benefit from the option of a deferral of surgery approach within a controlled trial. Equally, recognition of non-responders (mrTRG4&5) may prompt the use of further therapy and/or a novel pharmacotherapy in the hope of changing a patients' mrTRG status to a prognostically more favorable group. 1,104 We would, therefore, currently recommend using mrTRG on high-resolution T2-weighted images postneoadjuvant CRT to assess and predict response. Stratifying patients according to



**Fig. 11** Upper row (pre-CRT): a midrectal tumor infiltrating rectal wall at 5 to 8 o'clock position and discontinuous vascular spread (extramural venous invasion [EMVI]) at 5 o'clock (blue arrow) that abuts the mesorectal fascia. Lower row (post-CRT): a tiny scar at the site of treated tumor (barely visible and a completely fibrotic vascular deposit in the mesorectum at the level of EMVI (blue arrow), suggesting mrTRG1. mrTRG, MRI tumor regression grade.

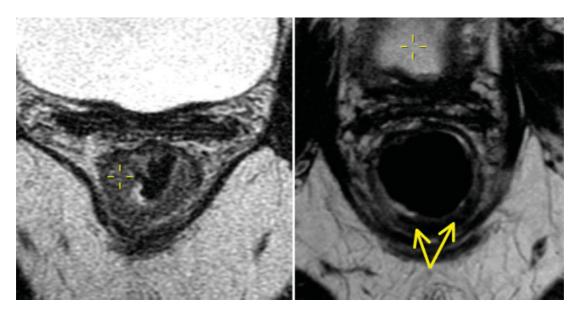


Fig. 12 Pretreatment (left) semiannular mass infiltrating rectal wall at 4 to 7 o'clock at which level tumor borders the interspincteric plane. Posttreatment image shows a good response to treatment with dense fibrotic scar (yellow arrow) at the site of the treated disease (mrTRG2). mrTRG, MRI tumor regression grade.

their mrTRG status will be conclusively tested in the prospective randomized clinical TRIGGER trial (UKCRN 20576). Patients will be randomized between a standard of care that does not re-evaluate tumor stage after CRT versus a mrTRG-based approach that offers deferral of surgery to favorable mrTRG patients or additional pharmacotherapy to non-responders to try and further downstage the tumor.

# **Posttreatment Assessment of the Distal TME Plane** (ymrLR)

Low rectal cancers are associated with a favorable response to CRT. When the inferior tumor border is  $\leq 5$ cm from the anal verge, greater downstaging is seen compared with that seen in upper and mid rectal tumors. This may be because of reduced organ mobility and a greater likelihood of the rectum receiving the prescribed dose of radiotherapy.<sup>84</sup> The mrLR staging system is currently being prospectively evaluated in the MERCURY II low rectal cancer trial. It is intended to assess the extent of tumor downstaging and assess the feasibility of a CRM clear intersphincteric resection. Although, whether surgeons will change their operative plane following downstaging of the tumor remains to be seen.<sup>1</sup>

# Five-Year View

MRI is central to the anatomical assessment of rectal cancer and looks set to remain the imaging modality of choice for

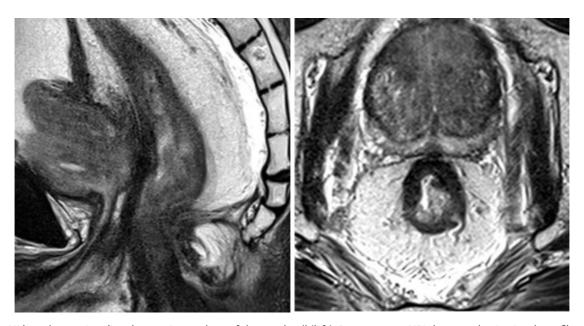


Fig. 13 Midrectal tumor invading the anterior quadrant of the rectal wall (left). Posttreatment MRI shows predominating dense fibrosis with some minimal intermediate signal (right), suggesting mrTRG3. MRI, magnetic resonance imaging; mrTRG, MRI tumor regression grade.

the local assessment of rectal cancer. Improvements in MRI field strength and homogeneity, multichannel transmitting and receiving coils, and MRI sequences will lead to continuing improvement in anatomical imaging.

Despite a good deal of interest in physiological tumor assessment with multiparametric MRI, a multiplicity of parameters has been identified in small studies that have not been validated in external studies. The supporting published evidence for the use of MRI has been based on high-resolution narrow field view. There is a danger that publications using poor-quality MRI may mislead the clinician and limit the clinical application of MRI. Instead, further education and training in the accurate interpretation of correctly acquired high-resolution T2-weighted MRI images are what is likely to deliver improved patient care.

In the coming years, the incorporation of accurate MRI-based tumor assessment into therapeutic clinical trials will allow the development of evidence-based treatment strategies and individualized care with each stage of the disease. This will enable patient-tailored treatment based on prognostic features at presentation, response to neoadjuvant therapy and recurrent disease during follow-up with the hope of minimizing morbidity without compromising oncological outcomes.

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